

APR 16 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/752,502	12/28/2000	Lisa A. Tam	13364

23676  
 SHELDON & MAK, INC  
 225 SOUTH LAKE AVENUE  
 9TH FLOOR  
 PASADENA, CA 91101

CONFIRMATION NO. 9486

## FORMALITIES LETTER



\*OC00000005785790\*

Date Mailed: 02/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

04/10/2001 HTECKLU1 00000030 09752502

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65.00 0P

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



*AN*  
Sector

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: ) Group Art Unit 1743  
LISA TAM et al. )  
Serial No.: 09/752,502 )  
Filing Date: December 28, 2000 )  
For: PORTABLE CO-OXIMETER )

TRANSMITTAL OF MISSING PARTS

Honorable Commissioner of Patents and Trademarks  
Washington, D. C. 20231

Sir:

Transmitted herewith is the following:

- (a) Declaration and Power of Attorney for Patent Application;
- (b) Substitute informal drawings (8);
- (c) A check in the amount of \$65.00; and
- (c) A copy of the Notice of Missing Parts.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication, or credit any overpayment to Deposit Account No. 19-2090.

It is believed that these papers are in compliance with the Notice to File Missing Parts of Application under 37 C.F.R. 1.53(d) which was mailed by the Application Division of the Patent and Trademark Office on February 21, 2001.

09/752,502

13364

If any extension of time is required, such extension is hereby requested.

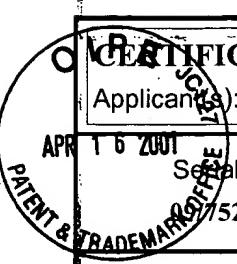
Respectfully submitted,

SHELDON & MAK

Date: 4/9/2007

By James W. Collett  
James W. Collett, Ph.D.  
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**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **LISA TAM ET AL.**Docket No. **13364**

APR 16 2001

Serial No.  
152,502Filing Date  
12/28/2000

Examiner

Group Art Unit  
1743Invention: **PORTABLE CO-OXIMETER**I hereby certify that this **TRANSMITTAL OF MISSING PARTS***(Identify type of correspondence)*is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The  
Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on **4-10-01***(Date)***Kira Lutchenkov***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*

Note: Each paper must have its own certificate of mailing.